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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,349	09/28/2001	Donald F. Hooper	10559/487001/P11470

20985
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4350 LA JOLLA VILLAGE DRIVE
SUITE 500
SAN DIEGO, CA 92122

COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 9452

FORMALITIES LETTER



OC000000007025756

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

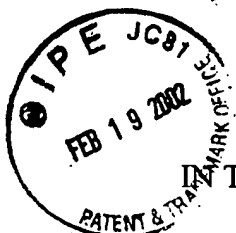
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$438.
 - \$198 for 11 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1278.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 10:00:00 061050 09966349
02 FC:101 740.00 00
03 FC:102 252.00 00
04 FC:103 198.00 00
05 FC:105 118.00 00
12.00 CH



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Donald F. Hooper et al. Art Unit : Unknown
Serial No. : 09/966,349 Examiner : Unknown
Filed : September 28, 2001
Title : MULTIPROTOCOL DECAPSULATION/ENCAPSULATION CONTROL
STRUCTURE AND PACKET PROTOCOL CONVERSION METHOD

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed November 5, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims fees of \$438;
- ☒ Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

January 25, 2002

Signature

Marie Collins

Typed or Printed Name of Person Signing Certificate

Marie Collins

Applicant : Donald F. Hooper et al.
Serial No. : 09/966,349
Filed : September 28, 2001
Page : 2

Attorney's Docket No.: 10559-487001 / P11470

Respectfully submitted,

Date: _____

11/25/2002

Denis G. Maloney

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